Docket No.: P2002,0637

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant

WERNER PLASS ET AL.

Filed

CONCURRENTLY HEREWITH

Title

SURFACE EMITTING SEMICONDUCTOR LASER CHIP AND

METHOD FOR PRODUCING THE CHIP

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

U.S. Patent No. 6,154,479 (Yoshikawa et al.), dated November 28, 2000;

German Published Non-Prosecuted Patent Application DE 100 38 235 A1 (Albrecht), dated February 21, 2002, and English abstract thereof.

If no translation of pertinent portions of any foreign language patents or publications mentioned above is included with the aforementioned copies of those applications, patents and/or publications, it is because no existing translation is readily available to the applicant.

Respectfully submitted,

For Applicants

Date: July 31, 2003

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FORM PTO-1449 (SUBSTITUTE) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE				Attorney Docket No.: P2002,0637 Appl. No.: Applicant: WERNER PLASS ET AL.				
STATEMENT BY APPLICANT (37 CFR 1.98(b))				Filing Date: July 31, 2003 Group Art Unit:				
EXAMINER INITIALS		PATENT NO.	DATE	PATENTEE	CLASS	SUB CLASS	FILI DA	
	Α	6,154,479	11/28/00	Yoshikawa et al.				
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)								
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EXAMINER DATE CONSIDERED								